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SECOND THOUGHTS ON *Y AND H₂ IN LYDIAN

It is a pleasure to offer to René Lebrun, in recognition of his contributions to our understanding of the ancient Indo-European languages of Western Anatolia, the following reconsiderations of problems in Lydian historical phonology. What follows was directly inspired by the recent *Mémoire* of Raphaël Gérard, completed under the direction of Professor René Lebrun¹. Our honoree will readily appreciate that my analyses are offered in the spirit of a continuing dialogue and that they make no claim to the status of « solutions ».

1. y > d in Lydian

In 1994 I argued that PIE *y appears in Lydian as d intervocalically and probably word-initially before vowel². I did not then address the question of the fate of *y in syllable-final position. Elsewhere I did take note of the absence of any compelling examples for reflexes of diphthongs in *Vy in Lydian³. I now believe this lack is due to the fact that such sequences also led to Vd in Lydian.

As a first example of this development I cite the relative pronoun qed. I have previously followed others in assigning this word to an alternate stem qe- of the relative-interrogative qi-, but there is in fact no other evidence for such an alleged stem⁴. Descriptively, we simply find qed as an

alternative to neuter nominative-accusative qid. The latter, the quite regular reflex of neuter nom.-acc. singular *k"id and direct cognate of Hittite kuit, notably serves synchronically for both singular and plural⁵. I now suggest that Lydian qed is likewise the cognate of Hittite neuter nom.-acc. plural kue. With the loss of contrast between singular and plural in the pronouns in Lydian, qid and qed became mere formal variants with no contrast in function.

The source of the -e of Hittite neuter (collective) nomacc. plural kue has long presented a problem⁶. Whatever the origin of the non-direct case endings, all other forms of the nominative and accusative of the interrogative-relative pronoun in Hittite point to an i-stem (kuiš, kuin, kuit, kuiëš, kuiuš), and no evidence from the other Anatolian languages argues against projecting this situation back to Proto-Anatolian. We thus may reasonably expect an i-stem source for kue, but it clearly does not reflect *-ih₂⁷. I propose with due reserve that Hittite kue and Lydian qed continue a more archaic type of i-stem collective, a « hysterokinetic » *k*éy. Compare Hittite udnē, udni- « land » < *udnē, udni- and kulēi « fallow land » < *k*lēý*.

As shown by $udn\bar{e}$, the phonological development to Hittite kue is straightforward. Lydian qed requires some comment. Since original long $*\bar{e}$ probably yields Lydian i^9 , I assume pre-Lydian shortening of tautosyllabic $*\bar{e}y$ to *ey, leading to ed^{10} . Lydian kawe- « priest » < $*kow\dot{e}y-$, with lengthened grade generalized from the nominative singular to the rest of the paradigm (cf. Avestan nom. sg. $kauu\bar{a}$) supports this development, but one then asks why kawe- shows no trace of the d < *y. This may be attributed to simplification of the nom. sg. *kawed+s to attested kawes from which a stem kawe- was generalized. Attested sequences of nom. sg. $-d\check{s}$ in $ed\check{s}$, $kavflad\check{s}$, and $patn\tilde{e}d\check{s}$ (NB with palatal sibilant!) do not argue against such a reduction of pre-Lydian *-ds, since they may reflect recent syncope of an i-stem nom. sg. ending $*-di\check{s}^{11}$.

As a second candidate for d from syllable-final *y I offer the noun (kat)-šadme-. It has long been established that karradme- is a compound of šadme- plus the preverb kat- (cf. Hittite katta « down »)¹². The two words occur together in 10.8-9 : ak cēnal nārs qλ šers ciw vališ kaττadmēs f=ak=m=it ēnud išt katowall šadmēl. The suggested meaning «Unterschrift» for kaττadme- (i.e. the lower part of the inscription)¹³ is very unlikely given the modifying adjective ciwvalis « divine ». It seems reasonably certain that katowall šadmēl refers to the text itself, most of which is a first-person verbal declaration of Katovas. This conclusion is supported by the other occurrences of šadme- in text 26, which likewise appear to refer to the inscription on the stele or its contents. If we ask what the likely difference is between a divine and human declaration of some kind, one answer is that the former is imposed from above (i.e. downwards, hence the further specification by kat-). Simple šadme- would be something like «injunction, instruction, bidding » (of an individual), while karradme- would be « edict, decree » (of some higher authority).

A sense « decree » is also very compatible with the context of the verb $ka\tau \vec{n}$ -, which appears repeatedly in text 22. As established by D. Schürr¹⁴, text 22 deals with declarations made by the inhabitants of Sardis regarding a group of persons designated by the word $m\lambda imn$ -. Despite our very imperfect understanding of the specifics, D. Schürr is surely correct in supposing that the basic subject matter is the legal status of the $m\lambda imn$ -, probably with particular attention to property. I therefore suggest that the verb $ka\tau \vec{n}$ - « decree » contains not only the same prefix as the noun $ka\tau nadm\vec{e}$ - but also the same root: * $\vec{s}i$ - beside $\vec{s}ad$ -. The forms * $\vec{s}i$ - and * $\vec{s}ad$ - reflect ablaut variants * $\vec{s}i$ - and * $\vec{s}oy$ -. An Anatolian verbal root with suitable semantics is not hard to find: * $\vec{s}h_2ei$ - « bind », among whose derivatives is Hittite $i\vec{s}hiul$ -, with a range of meanings that includes « injunction, instruction, statute »¹⁵.

We may thus revise our preforms to $*sh_2i$ - and $*sh_2oy$. We have no hope of recovering the pre-Lydian inflection of the verb¹⁶, but $šadm\tilde{e}$ - *« binding » > « injunction, instruction » may be analyzed as a men-stem of the type of Greek $\pi o\iota \mu \dot{\eta} \nu$ « shepherd », from a virtual $*sh_2oy-m\acute{e}n^{-17}$. This would have led by regular developments to Lydian $*sadm\tilde{e}$ -, which was trivially altered to $šadm\tilde{e}$ - under the influence of the corresponding verb, where *si- $< *sh_2i$ - was regularly palatalized to $*\check{s}i$ - after loss of the laryngeal between consonant and vowel¹⁸.

Whether any other cases of syllable-final d in Lydian reflect *y must be left as an open question (waredtal in 11,5 remains opaque to me)¹⁹.

2. * h_2 > Lydian k?

A consensus appears to have developed that Lydian shows no direct trace of the PIE « laryngeals », including $*h_2^{20}$. The general dearth of assured etymologies for Lydian does mean that this conclusion is based on very restricted evidence. Even if we are generous in including all remotely plausible examples, we are limited to cases in postconsonantal or word-initial position: e.g. aara- « courtyard, property » < $*h_1 \acute{o} rh_2$ - (if cognate with Hittite arh(a)- « border, territory »), esa- « child, offspring » < $*h_2 \acute{o} nso^{21}$, wesfa- « living » < virtual $*h_2 w\acute{e} swo$ -. Notably lacking here is evidence for intervocalic $*h_2^{22}$

As I have suggested elsewhere²³, Lydian šarētameans something like « protector, patron », and a sense « shall watch over, protect » is also suitable for the compound verb $ka\tau ared$ in 11,2. The presence of the preverb kat- « down » in the latter led me to compare Avestan ni-har- « watch over, guard ». A meaning « protection » likewise fits well the context of the noun šaroka- in 24,14-15: $artimu\lambda = k = in$ ētweršn

 $\check{s}aroka=k$ nikumẽk $\check{s}aw$ ẽnt « He (the violator) also shall not in any way experience \check{e} . and protection from/on the part of Artemis 24 .

We may thus isolate a Lydian suffix -oka- that forms abstract nouns. It appears also in the nouns altokad (10,14) and atrokl (11,8)²⁵ and indirectly in the denominative verbs kaprdoki- « steal », katšarloki- « bring defeat, demotion », and warptoki- « ensnare » (?)²⁶. Lydian atrok(a)- recalls CLuvian atrahit-, but the meaning of both words is too uncertain to assert with confidence that they are related²⁷. Nevertheless, the formal resemblance emboldens me to suggest that Lydian -oka- reflects a secondary « thematization » of the same abstract suffix as that seen in CLuvian -ah-it- and also indirectly in Hittite -ātar²⁸.

For the secondary treatment as -a- stems compare the similar development in Hittite maniyahha- « portion, share »²⁹. We should also probably assume synchronic stems *alwanzahha- « witchcraft » (attested in the instrumental alwanzahhat and ablative alwanzahhaz) and *manninkuwahha- « vicinity » (attested in the dat.-loc. singular manninkuwahhi). I assume a similar development in Lydian nouns in -oka-. The apparent discrepancy in gender between Hittite maniyahhaš and Lydian altokad is not a serious obstacle, since the treatment as a-stems would surely have been independent.

 in accented position before nasal in closed and open syllables respectively, and short *a, *o and *e also merge as a in unaccented position³². Third, other than in the alleged environment in question, *- $\acute{a}HV$ -, we unfortunately have no secure reflexes of accented short *a in Lydian³³. Finally, while accented short *o other than before nasal clearly yields Lydian a in some environments, we have no evidence for many others, including notably *- $\acute{o}HV$ -³⁴. It is highly unlikely that all cases of attested o in Lydian may be attributed to the presence of an adjacent prehistoric *w or labiovelar³⁵.

Under these circumstances I do not see that a partial or even complete merger of prehistoric short *a and *o can be excluded. Current evidence would permit either a complete merger of short *a and *o to o (i.e. [\supset] or [\mathring{a}]) versus long * \tilde{a} and * \tilde{o} to a (cf. Slavic), with a secondary conditioned split of the new o into o and a under conditions to be determined, or merely a partial conditioned merger of prehistoric short *a with *o as o ([\supset]). Obviously, pending a successful determination of such conditioning or the discovery of new evidence the account proposed here of -oka- as a reflex of *-eh₂(V) must remain hypothetical.

H. Craig MELCHERT University of North Carolina at Chapel Hill am, of course, solely responsible for the views expressed here. I follow D. SCHÜRR, *Kadmos* 38, 1999, pp.171ff. et aliter, in transliterating the Lydian bilabial stop as p instead of b and the dental and palatal(ized) sibilants as b and b instead of b and b respectively. I also use b for b to avoid confusion with the nasal b (nu).

² MELCHERT, C., « PIE *y > Lydian d », in P. Vavroušek (ed.), Iranian and Indo-European Studies. Memorial Volume of Otakar Klima, Prague, 1994, pp.181-187.

³ MELCHERT, C., Anatolian Historical Phonology (henceforth AHP), Amsterdam-Atlanta, 1994, p.370.

⁴ VAN DEN HOUT, TH., « Lydian qesi-, qelλk and Lycian tihe », in Theo van Lint (ed.), Festschrift for Joseph J. S. Weitenberg (to appear), argues that dative-locative singular qelλ=k belongs to the stem of a genitival adjective qeli-(k), not to qesi-(k), as assumed by GUSMANI, R., Lydisches Wörterbuch (henceforth LW), Heidelberg, 1964, p.182, and others. The stem qeli- may well reflect simply *k*ëli-. The morphology and hence the vocalism of qesi- is quite unclear. Neither derivative establishes a base stem qe- for the interrogative-relative itself. GÉRARD, R., op.cit., p.53 with note 201 and p.109, argues cogently against the existence of a second stem *k*ey- in Lydian, as suggested by GUSMANI, R., LW, p.181, and followed by MELCHERT, C., AHP, p.345.

⁵ See 2,6: ešvav m\u00e4w\u00e4ndav i\u00e4kon qid=a tamv « all these m. which I built » and GUSMANI, R., LW, p.44.

¹ GÉRARD, R., *Phonétique et morphologie de la langue lydienne*, Louvain, 2001. I am much indebted to the author for generously making a copy of this most stimulating work available to me in advance of its formal publication. l

⁶ I see nothing to recommend derivation from a dual ending *-o-ih₁, as suggested e.g. by EICHNER, H., MSS 31, 1973, p.79. His alternative suggestion of a plural ending *-ei-h₂ would represent a more archaic version of the *-ēy presented here. A preform *k*éy without final laryngeal (JASANOFF, J. pers. comm.) is also possible. However, given the presence of other examples in Hittite of lengthened-grade collective plurals to stems in sonorant (e.g. hašduēr « brush(wood) »), I prefer to assume likewise already *k*éy for Proto-Anatolian. The older comparison with Latin quae (e.g. STURTEVANT, E. H., A Comparative Grammar of the Hittite Language, Revised edition, New Haven, 1951, p.36) is no longer viable. Latin quae surely reflects *-eh₂-ī: see

SIHLER, A., New Comparative Grammar of Greek and Latin, New York, 1995, p.386, and cf. MEISER, G., Historische Laut- und Formenlehre der lateinischen Sprache, Darmstadt, 1998, pp.162 and 166. Despite the claim of A. Sihler, loc.cit., an ending *-eh_Tī would appear in Hittite as -ahhi, not -e: see WATKINS, C., in Helmut Rix (ed.), Flexion und Wortbildung, Akten der 5. Fachtagung der Indogermanischen Gesellschaft, Wiesbaden, 1975, pp.368ff.

7 For a preform k*ih₂ elsewhere see e.g. RIX, H., Historische Grammatik des Griechischen, Darmstadt, 1976, p.187, and SCHRIJVER, P., The Reflexes of the Proto-Indo-European Laryngeals in Latin, Amsterdam-Atlanta, 1991, pp.81-84.

8 See OETTINGER, N., « Griech. ὀστεῦον, heth. kulēi und ein neues Kollektivsuffix », in H. Hettrich et al. (ed.), Verba et Structurae, Festschrift für Klaus Strunk zum 65. Geburstag, Innsbruck, 1995, pp.211-228 (esp. p.220) and cf. PINAULT, G.-J., BSL 96, 2001, p.200.

⁹ Cf. bira- « house » with secondary a-stem from original *pēr cognate with Hittite per and see MELCHERT, C., AHP, p.367.

Lydian $qed < *k'' \dot{e}y$ obviously precludes monophthongization of the long diphthong $*\bar{e}y$ already in Proto-Anatolian, against MELCHERT, C., AHP p.345 et aliter. Hittite kulëi besides udnë cited above also argues against my previous assumption. The alternative derivation from $*k'''\dot{e}y(h_2)$ cited in note 6 above would likewise require preservation of the short diphthong *ey in Proto-Anatolian. Arguments for monophthongization of *ey in PA remain fragile: on this issue see MELCHERT, C., AHP, p.56, and GÉRARD, R., op.cit., p.53 with references.

If patnēdš represents a personal name (see GUSMANI, R., LW p.76), it is conceivable that it reflects the type seen in Lycian Pigrēi, etymologically < *« the splendid one », with the Anatolian « mutation-i » added to an n-stem formed with « individualizing » *-e/on- (type of Lycian Xudalijē, *« the nimble one »). On these types see MELCHERT, C., Tocharian and Indo-European Studies 9, 2000, p.70. A nom. sg. *-ōn+s could have led to *-ēs, whence a new stem in -ē-, which was later extended to *-ē+i-, as in Lycian. Hiatus-filling *y in Lydian gave *-ēyi- > *-ēdi- (in the nom. sg. *-ēdiš > -ēdiš).

The high number of unproven assumptions and relative chronologies required obviously reduces this derivation to mere speculation.

¹² See GUSMANI, R., LW, p.149, with reference to W. Brandenstein.

¹³ GUSMANI, R., loc.cit.

¹⁴ SCHÜRR, D., «Lydisches IV: Zur Grammatik der Inschrift Nr.22 (Sardes) », Sprache 39/2, 1997, pp.201-212. See especially pp.206-207 and 209. SCHÜRR analyzes the ending -wv(e.g. kattiw) as first plural present, -riš (e.g. fawnēriš) as first plural preterite, and -rs (e.g. kattirs) tentatively as second plural present. I prefer to take -wv as first plural preterite, and -rs and -riš as allomorphs of a single third plural preterite ending: cf. for the allomorphy in the latter that in the reflexive particle -s/-iš (see MELCHERT, C., Kadmos 30, 1991, p.141). This difference of opinion, however, does not affect the validity of SCHÜRR's basic insight that text 22 refers to provisions made by one group in regard to another.

¹⁵ Cf. also Akkadian *rakāsu* « bind » but also « make a binding ruling, order to do something » and the noun *riksu* « bond » but also « regulation, edict, decree »: see *The Assyrian Dictionary of the Oriental Institute of the University of Chicago*, Volume 14, Chicago, 1999, pp.99 and 354.

¹⁶ But conversion of an original « hi-verb » in -i- to an ordinary ye/o-stem seems likely: cf. Neo-Hittite išhiyami beside original išhihhi (see OETTINGER, N., Die Stammbildung des hethitischen Verbums, Nürnberg, 1979, p.466).

¹⁷ See most recently on this type OETTINGER, N., « Zum Anatolischen Nomen », in E. Tichy et al. (ed.), Indogermanisches Nomen, Akten der Arbeitstagung der Indogermanischen Gesellschaft/Society for Indo-European Studies/Société des Etudes Indo-européennes (Freiburg 19.-22. September, 2001), Bremen, 2003, pp.147-151

¹⁸ For accented *en > Lydian \tilde{e} preconsonantally and unaccented *o > Lydian a see MELCHERT, C., AHP pp.365 and 366 respectively. For palatalization of *s see *ibid.* p.360.

¹⁹ I take this occasion to withdraw explicitly my account of the treatment of intervocalic *y in verbs in *-Vye/o- in terms of contractions, as given in MELCHERT, C., AHP, p.377, rightly criticized by GÉRARD, op.cit., p.76.

His own solution in terms of loss of intervocalic *y before *e leaves unexplained those forms in *-Vyo- (though obviously intraparadigmatic leveling cannot be excluded). I now prefer to return to my original formulation, IF 97, 1992, pp.46ff., by which the change *y > d takes place intervocalically in regular fashion, followed by syncope of the vowel of the ending. Whether the change of *yĕ to *yĭ took place here may be left open. Thus e.g. pret. 1st sg. *-éyom > *-édom > -edv and *-éh2yom> *-áyom > *-ádom > -adv, while pres. 3rd sg. *-éyedi (> *-éyidî) > *-éde/idi > -éde/id > -ed and *-áyedi > *-áde/idi > *-áde/idi > -ad.

²⁰ See e.g. MELCHERT, C., AHP, p.361, and GÉRARD, R., op.cit., p.76. As per MELCHERT, C., AHP, p.65, $*h_1$ may well have already been lost in Proto-Anatolian. I am in any case not aware of any proposed reflexes of $*h_1$ as a consonant in Lydian. 1 know of no plausible examples that provide any evidence for the fate of $*h_3$. We are thus for practical purposes limited to consideration of the reflexes of $*h_2$.

²¹ I now accept the arguments of GÉRARD, R., op.cit., pp.39-40, that Lydian e represents a relatively open vowel such as [e] (or even [e]) and also that \tilde{e} does represent its nasalized counterpart, not phonetic $[\tilde{a}]$, against MELCHERT, C., AHP p.343 et aliter! For this reason 1 cannot accept the proposal of SCHÜRR, D., Kadmos 31, 1999), p.172, to transliterate \tilde{e} as \hat{a} . I now assume a development $*h_2\acute{o}nso->*\tilde{e}sa-$ (for preconsonantal $*\acute{o}n>\tilde{e}$ see MELCHERT, C., AHP p.365) > esa- with loss of nasalization in an original sequence *ns. Cf. the alternate solution of GÉRARD, R., op.cit., pp.47-48.

²² The derivation of antola-/anlola- (part of or contents of a grave) from a PA preform *en-dwaH-olo- *« human » (MELCHERT, C., AHP, p.331, after GUSMANI, R.), is a mere possibility semantically and highly unlikely phonologically, since from an original sequence *end- we would expect only *ēt- if accented and *at- if unaccented (see MELCHERT, C., AHP, pp.348 and 343 respectively). For this reason I now reject this etymology.

²³ MELCHERT, C., IF 97, 1992, p.47.

²⁴ The same expression occurs with « on the part of these gods » in 23,11-13. For Lydian šawēn- as « see, experience » see CARRUBA, O, Athenaeum 47,

1969, p.51f. For a possible etymology $< *sek^*$ - see MELCHERT, C., IF 97, 1992, p.40.

I take attested atrokl as dat.-loc. singular (for -l instead of regular - λ after consonant cf. $q\lambda d\bar{a}nl$ in 23,1). Against SCHÜRR, D., Incontri Linguistici 23 (2000), p.114, the word $atrgol\lambda$ (sic!) in 11,4 is related. No metathesis is required, merely syncope of the pretonic vowel in a derived adjective *atrok ol(i)- (for the suffix -ol(i)- cf. kumlol(i)-) and then voicing of k next to r (a real tendency despite SCHÜRR's protestations to the contrary).

²⁶ For the unity of this set see already correctly SCHÜRR, D., op.cit., p.115. Against SCHÜRR, D., ibid. p.116f., I retain the meaning « steals » for kaprdokid and derivation from a *kaprdoka- « theft, thievery » (see MELCHERT, C., apud OETTINGER, N., HS 108, 1995, p.45, after an idea of WEISS, M.). For *kat-šarloka- « defeat » (i.e. the act of bringing down) cf. ultimately Luvo-Hittite šarlā(i)- « exalt ; cause to win ». Addition of the preverb kat- in Lydian reverses the meaning, making kat-šarloki- the functional equivalent of Hittite katteraħħ- « downgrade; cause to lose ». I tentatively relate Lydian warptoki- to Hittite warpā(i)- « ensnare », but a meaning such as « overpower » is also possible. Cf. CLuvian warpalla/i- as an epithet « mighty » (vel sim.) of the Storm-god.

²⁷ STARKE, F., Untersuchung zur Stammbildung des keilschrift-luwischen Nomens, Wiesbaden, 1990, p.161, proposes « nourishment » for atrațit-, comparing the verb adari(ya)- « feed, nourish ». The connection is plausible, but hardly compelling. We can be sure of little except that the word refers to something positive. The same is true for Lydian atrok(a)-.

²⁸ The unextended abstract suffix *-eh₂ is preserved in Hittite *miyahu(wa)nt- « old man » < *mih_{1/3}-eh₂-went- *« having maturity », as first seen by EICHNER, H., MSS 31, 1973, p.59f.

²⁹ Attested in KUB 35.148 iii 11-13, where a puppy is held out to the Sun-god, who is then addressed: $k\bar{a}[\bar{s}]a$ maniyahha $\bar{s}=ti\bar{s}$ nu $k\bar{a}\bar{s}$ kuit memai n=at zik $\bar{s}akti$ zig=a kuit [mema]tti n=at kā \bar{s} šakki (sic! text has $\bar{s}akti$). I assume that maniyahha $\bar{s}=ti\bar{s}$ means in context « thing that is entrusted to you » and take the following specification as describing what is to happen: « Here is your m. What he says, you will know, while he will know what you say ». For a

different interpretation of this passage see GÜTERBOCK, H. G., and HOFFNER, H., The Hittite Dictionary of the Oriental Institute of the University of Chicago, Volume L-N, Chicago, 1980-89, p.167.

 30 I add further Lycian k, but this development is not universally accepted. See for discussion with references to divergent views MELCHERT, C., AHP p.305ff. See further HAJNAL, I., Der Lykische Vokalismus, Graz, 1995, pp.18-21 and 26-30, on both the synchronic value of q and x as stops and on their prehistoric sources.

³¹ See GÉRARD, R., op.cit., p.39, correcting the grievous error of MELCHERT, AHP, pp.43, 343, et altter. Cf. note 21 above on the similar corrective regarding Lydian e.

³² See MELCHERT, C., AHP, pp.365-366. For a somewhat different account of the first development see GÉRARD, R., op.cit., pp.47-48.

33 We cannot, of course, guarantee that the *d of *-aHV- was accented, but that surely is the unmarked assumption. Neither of the two purported examples of accented short *a cited in MELCHERT, C., AHP, p.369, is probative. If the suggested etymology of -qa(a)s- « possess » is correct, it likely reflects long *-ā- (cf. Greek πέπαμαι « possess » and see correctly GERARD, op. cit. p.46). The « Lallwort » for « father » taada- can easily reflect a preform *dhda- instead of *dhda-.

³⁴ For Lydian $a < *\delta$ see MELCHERT, C., AHP p.347, citing examples such as ala- « other » (in alas, alad, alal) $< *aly\delta$. The accent here is assured by acc. sg. alev. On the Lydian accent see the fundamental work of EICHNER, H., « Die Akzentustion des Lydischen », Sprache 32, 1986, pp.7-21.

³⁵ See the discussions in MELCHERT, C., AHP pp.346 and 368, and GÉRARD, R., op.cit. pp.45-46 and 50, neither of which accounts for more than a fraction of the examples of o.